

10-18-01

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10/17/01  
JG914 U.S. PTO

**CONTINUING PATENT APPLICATION  
TRANSMITTAL** (for Continuing  
Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. 72291

First Named Inventor or Application  
Identifier:

Farris, et al.

j1046 U.S. PTO  
09/981433  
10/97/001

Box PATENT APPLICATION  
Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a request under 37 C.F.R. §1.53(b) for  
filing a:

- ☒ Continuation application,
- ☐ Divisional application,
- ☐ Continuation-in-Part application,

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number

EL 868370920 US

Date of Deposit October 17, 2001

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with the United States Postal Service "Express Mail  
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Commissioner of Patents and Trademarks, Washington,  
D.C. 20231.

Edward Price  
(Typed or printed name of person mailing)

*Edward Price*  
(Signature of person mailing)

of pending prior application number 09/489,073, filed on January 21, 2000 by  
inventor(s) Bradford L. Farris, James J. Fitzgibbon for Rolling Code Security System.

1. ☐ This is a continuation or divisional application. Enclosed is a copy of the prior application as originally filed, including specification, claims, drawings, and oath or declaration.

- or -

- ☒ Enclosed is a patent application (for continuation, divisional, or continuation-in-part applications) containing:

☒ 72 pages of the specification (including claims).

☒ 16 sheet(s) of drawings. ☐ Formal ☒ Informal

2. ☒ Amend the specification by inserting before the first line the sentence: - This is a  
☒ continuation, ☐ division, ☐ continuation-in-part, of prior application number  
09/489,073, filed January 21, 2000, which is hereby incorporated  
herein by reference in its entirety.-- The entire disclosure of the prior application,  
from which a copy of the oath or declaration is supplied under paragraph 3 below,  
is considered as being part of the disclosure of the accompanying application, and  
is hereby incorporated by reference therein.

3. ☒ A copy of the executed Oath or Declaration filed in the prior nonprovisional application is enclosed.

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4. ☐ Inventorship:

☐ A newly-executed Oath or Declaration and Power of Attorney is enclosed (for continuation-in-part applications, or for continuation or divisional applications naming an inventor not named in the prior application) (§ 1.63(a), (d)(5) and (e)).

☐ Because this application is being filed by fewer than all of the inventors named in the prior application, delete the following inventor(s) named in the prior nonprovisional application (37 C.F.R. § 1.63(d)(1)(2)):

\_\_\_\_\_

\_\_\_\_\_

☐ The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§ 1.41(a) and § 1.53(b)).

5. ☐ An Assignment of the invention to \_\_\_\_\_, and cover sheet are enclosed.

☐ A check in the amount of \$ \_\_\_\_\_ to cover the fee for recording the assignment is enclosed.

6. ☒ The prior application is assigned of record to The Chamberlain Group, Inc..

7. ☐ Small Entity Status (37 C.F.R. § 1.28(a)(2)):

☐ Applicant(s) assert entitlement to Small Entity Status.

☐ Status as a small entity is not claimed.

8. ☐ A 37 C.F.R. § 3.73(b) statement is enclosed where an assignee seeks to take action.

9. ☒ A preliminary amendment is enclosed.

10. ☒ Drawings:

☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May be used only if signed by person authorized by § 1.138 and before payment of base issue fee.)

☒ New formal drawings are enclosed.

☐ Informal drawings are enclosed.

11. ☒ A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.
12. ☐ An Information Disclosure Statement is enclosed.
- ☐ A Form PTO-1449 is enclosed.
- ☐ \_\_\_\_\_ References (copies) listed on the Form PTO-1449 are enclosed.
13. ☒ A Computer Program Listing Appendix is enclosed.
- ☐ A Transmittal Cover Letter for Computer Program Listing Appendix is enclosed.
- ☐ Two (2) Compact Discs are enclosed.
14. ☐ A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
- ☐ A Computer Readable Copy is enclosed.
- ☐ A Paper Copy (Identical to Computer Copy) is enclosed.
- ☐ A Statement Verifying Identity of above Copies is enclosed.
15. ☒ A Return Receipt Postcard is enclosed (MPEP §503).
16. ☐ Priority of application number \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §119.
- ☐ The certified copy of the priority document has been filed in prior application number \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ A certified copy of the priority document is enclosed.
17. ☒ Power of Attorney:
- ☒ The power of attorney in the prior application is to:
- ☒ Kenneth H. Samples, Registration No. 25,747  
FITCH, EVEN, TABIN & FLANNERY  
Suite 1600  
120 South LaSalle Street  
Chicago, Illinois 60603-3406.  
and other members of the firm.
- ☒ Customer Number 22242.
- ☒ The power appears in the original papers in the prior application.

☐ Since the power does not appear in the original papers in the prior application, a copy of the power in the prior application is enclosed.

18. ☒ Cancel in this application original claims 2-16 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

19. ☒ The filing fee is calculated below:

Fee Calculation for Claims as Filed in the Prior Application,  
Less Any Claims Cancelled by Amendment

Basic Utility Fee					\$740.00	\$	740.00
Independent Claims	<u>3</u>	-	<u>3</u>	=	<u>0</u>	x	\$ 84.00 = \$ 0.00
Total Claims	<u>25</u>	-	<u>20</u>	=	<u>5</u>	x	\$ 18.00 = \$ 90.00
Fee for Multiply Dependent Claims					\$280.00	\$	0.00
or							
Basic Design Fee					\$330.00		
					Total Filing Fee	\$	830.00

☐ Applicant(s) assert entitlement to Small Entity Status, reducing the Filing Fee by half to: \_\_\_\_\_

20. ☒ A check in the amount of \$ 830.00 to cover the filing fee is enclosed.

21. ☐ Charge \$ \_\_\_\_\_ to Deposit Account No. 06-1135.

22. ☐ The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.

23. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. A duplicate copy of this request is enclosed.

24. ☒ Also enclosed:

Request for Examiner Approval of Drawing Correction  
Submission of Formal Drawings

Appln. No.  
Filing Date: October 17, 2001

Attorney Docket No. 72291

25. ☒ Address all future communications to Customer Number 22242.



October 17, 2001  
Date

Kenneth H. Samples

Kenneth H. Samples

Registration No. 25,747

☒ Attorney or agent of record

☐ Filed under §1.34(a)

FITCH, EVEN, TABIN & FLANNERY  
Suite 1600  
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PATENT

Attorney Document No. 72291

Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner for Patents  
Washington, D.C. 20231



Applicant(s): Farris, et al.

Appln No.:

Filed: Herewith

For: Rolling Code Security System

Group Art  
Unit:

Examiner:

) CERTIFICATE OF MAILING BY "EXPRESS MAIL"

) "Express Mail" Mailing Label Number

) EL 868370920 US

) Date of Deposit October 17, 2001

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) on the date indicated above and is addressed to the  
) Commissioner of Patents and Trademarks, Washington,  
) D.C. 20231.

) Edward Price

) (Typed or printed name of person mailing)

) *Edward Price*  
) (Signature of person mailing)

**GENERAL AUTHORIZATION FOR PETITION FOR  
EXTENSION OF TIME UNDER 37 C.F.R. §1.136(a)(3)**

Applicant(s) hereby request under 37 C.F.R. §1.136(a)(3) by this general authorization that any concurrent or future reply submitted by Applicant(s) to the U.S. Patent and Trademark Office for the above-identified patent application requiring a petition for an extension of time under §1.136(a) for its timely submission be treated as incorporating therein a petition for an extension of time for the appropriate length of time.

If Applicant(s) do not timely pay for any extension fee(s) pursuant to 37 C.F.R. §1.136(a) which may become due for this application under 37 C.F.R. §1.17 by check, the Commissioner is hereby authorized to charge such fee(s), and any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135.

October 17, 2001

Date

*Kenneth H. Samples*

Kenneth H. Samples


Registration No. 25,747

FITCH, EVEN, TABIN & FLANNERY  
Suite 1600  
120 South LaSalle Street  
Chicago, Illinois 60603-3406  
Telephone: (312) 577-7000  
Facsimile: (312) 577-7007

#4

PATENT  
ATTORNEY DOCKET NO. 72291

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Bradford L. Farris	)	<u>CERTIFICATE OF MAILING BY "EXPRESS</u>
	James J. Fitzgibbon	)	<u>MAIL"</u>
Appln. No.:		)	"Express Mail" Mailing Label Number
		)	<u>EL 868370920 US</u>
Filed:		)	Date of Deposit <u>October 17, 2001</u>
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Unit:		)	under 37 CFR \$1.10 on the date
		)	indicated above and is addressed to the
Examiner:	Not yet assigned	)	Commissioner of Patents and Trademarks,
		)	Washington, D.C. 20231.
			<u>Edward Price</u>
			(Typed or printed name of person
			mailing)
			
			(Signature of person mailing)

SUBMISSION OF FORMAL DRAWINGS

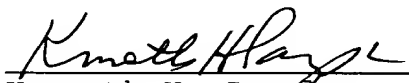
Director-U.S. Patent and Trademark Office  
Attn. Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed herewith are sixteen (16) sheets of formal drawings (FIG. 1 through FIG. 8F). FIG. 5 as originally filed has been divided into FIGS. 5A-B. This and other minor drawing changes are discussed in the accompanying Request for Examiner Approval of Drawing Corrections. Please substitute these formal drawings for the informal drawings submitted with the filing of the above-captioned application.

Respectfully submitted,  
FITCH, EVEN, TABIN & FLANNERY

Date: October 17, 2001

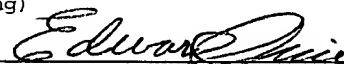
  
Kenneth H. Samples  
Registration No. 25,747

120 South LaSalle St., Suite 1600  
Chicago, Illinois 60603-3406  
Telephone: (312) 577-7000  
Facsimile: (312) 577-7007  
KHS/EEC/236655

#3

PATENT  
ATTORNEY DOCKET NO. 72291

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Bradford L. Farris	)	<u>CERTIFICATE OF MAILING BY</u>
	James J. Fitzgibbon	)	<u>"EXPRESS MAIL"</u>
Appln. No.		)	"Express Mail" Mailing Label Number
		)	<u>EL 868370920 US</u>
Filed:		)	Date of Deposit <u>October 17, 2001</u>
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Unit:		)	under 37 CFR \$1.10 on the date
		)	indicated above and is addressed to the
Examiner:	Not yet assigned	)	Commissioner of Patents and Trademarks,
		)	Washington, D.C. 20231.
			<u>Edward Price</u>
			(Typed or printed name of person
			mailing)
			
			(Signature of person mailing)

REQUEST FOR EXAMINER APPROVAL OF DRAWING CORRECTION

Director-U.S. Patent and Trademark Office  
Attn. Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed herewith are fifteen (15) sheets of drawings (FIG. 1 through FIG. 8F) for the above-captioned application, showing corrections to be made in RED permanent ink.

Sheets 1-3 contain no drawing changes.

Fig. 4 has been amended to remove the notation identifying the individual logic component values and unused reference numerals.

To comply with formal drafting requirements, FIG. 5 has been divided into FIGS. 5A-B.

FIGS. 5A and 5B have been amended to remove the notation identifying the individual logic component values and unused reference numerals.

FIG. 6 has been amended to eliminate unnecessary language.

FIGS. 7-8F have been amended to eliminate the additional set of reference numerals listed in the flow charts.



Appln. No.  
Filed: October 17, 2001

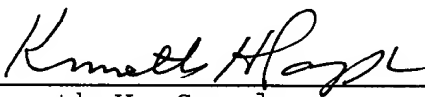
PATENT  
Attny. Docket No. 72291

Lastly, FIG. 7C has been amended to clarify the transfer of control from step 534. Specifically, the branch extending from step 534 to 536 has been amended to indicate that this transfer occurs if an ODD bit count is detected. Likewise, the branch extending from step 534 to 538 has been amended to indicate that this transfer occurs if an EVEN bit count is detected. Support for this change can be found on page 13, lines 22-27 of the specification.

The Applicants submit that no new matter has been added and respectfully request Examiner approval of the enclosed drawing corrections.

Respectfully submitted,  
FITCH, EVEN, TABIN & FLANNERY

Date: October 17, 2001

  
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